

Intellectual Property Policy

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Approved by	Board of Directors
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About this policy

This document describes the Intellectual Property Policy (the "Policy"). The Policy relates to GLEIF's trademarks and copyrights which are a valuable part of the foundations intellectual property portfolio.

Change History

This section records the history of all changes to this document.

Date	Version	Description of change	Author
2024-09-23	1.0	Approval of the Intellectual Property Policy at Board Level	GLEIF

Where changes are made for clarity and reading ease only and no change is made to the meaning or intention of this document, the version number will be increased by 0.1.

Where significant changes are made to this document, the version number will be incremented by 1.0.

1 Overview

1.1 Purpose

The purpose of this policy is to provide legal certainty, promote scientific research and technological development, encourage researchers to consider the possible opportunities for exploiting an invention to increase the potential flow of benefits of the LEI to society and provide an environment that supports and encourages innovation and development.

1.2 Scope

This policy covers the legal rights which result from the intellectual activity of GLEIF's employees, members of the GLEIF Board, ROC members, contractors and the members of GLEIF's stakeholder groups (Addressees) in the course of their normal duties when collaborating with the foundation. These legal rights include, but are not limited to trademarks, copyright (rulebooks, software, newsletter and blog items, videos...), know-how and other.

2 Policy

2.1 Ownership of intellectual property

2.1.1 General

GLEIF dedicates all of its resources to the Global Legal Entity Identifier System (GLEIS). It is therefore crucial to the continuation of its work that GLEIF takes all necessary measures to protect its intellectual efforts and trademarks as valuable assets, even if the data on GLEIF's website is provided under Creative Commons Zero License and GLEIF adheres to the Open Data Charter.

The ownership of the intellectual property (IP) should always remain with GLEIF. This applies to all IP produced by the Addressees in the course of their normal duties when collaborating with GLEIF.

In the relationship between the foundation and its employees, this is ensured by appropriate provisions in the employment agreements.

2.1.2 Collaborative Project

In the event that any collaborative projects are done with other natural persons and/or legal entities than the persons mentioned in 2.1.1, a formal agreement is necessary that should clarify the ownership of generated IP; in principle, GLEIF should become the sole owner of all and any IP that has been generated by all who have been assigned to contribute to the activities of GLEIF.

2.2 IP Protection

2.2.1 GLEIF's logo and trademark

GLEIF's logo and trademark are protected. A license to use GLEIF's logo and trademark is usually only granted to:



- Local Operation Units (LOUs)
- Qualified vLEI Issuers (QVIs)
- Registration Agents
- Validation Agents
- Members of a GLEIF Stakeholder Group
- Selected Business Partners and Suppliers

2.2.2 GLEIF Website

Information provided on GLEIF Website is governed by <u>the GLEIF Website Terms</u> if not mentioned otherwise.

2.2.3 LEI Data

Regarding the Access Service for LEI Data and the use of LEI Data please refer to <u>LEI Data Terms of</u> Use on the GLEIF website.

2.3 Know-How protection

The Addressees shall neither disclose nor use, know-how, secrets or confidential information acquired whilst performing their function with GLEIF. To this end, non-disclosure agreements shall be signed with contractors, agents and relevant third parties.

2.4 Supporting Policies and Procedures

Separate policies, processes, procedures and guidelines designed to support, and achieve compliance with this Policy shall be developed, as appropriate, by GLEIF. The following "Related Documents" table will be maintained and updated accordingly.

Related Documents

Document Name	Document Type	
GLEIF Website Terms	Terms and Conditions	
LEI Data Terms of Use	Terms and Conditions	

Record Retention Period: 10 years or local legal requirements, whichever is longer.

3 Implementation Responsibilities

It is the responsibility of the Board of Directors to approve the Intellectual Property Policy.

The Governance Committee is responsible for the review of the Intellectual Property Policy.



4 Effective Date

This Policy, and any amendments thereof, shall enter into force with its approval of the Board of Directors.